



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

PTO/SB/08a (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Not for submission under 37 CFR 1.99)

Application Number	10689202
Filing Date	2003-10-20
First Named Inventor	Kohji Murayama
Art Unit	2879
Examiner Name	Anthony J. Canning
Attorney Docket Number	JP920010391US1

U.S. PATENTS

Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
	1					

If you wish to add additional U.S. Patent citation information please click the Add button.

U.S. PATENT APPLICATION PUBLICATIONS

Examiner Initial*	Cite No	Publication Number	Kind Code ¹	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
	1					

If you wish to add additional U.S. Published Application citation information please click the Add button.

FOREIGN PATENT DOCUMENTS

Examiner Initial*	Cite No	Foreign Document Number ³	Country Code ²	Kind Code ⁴	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear	T ⁵
	1	2001-326069	JP		2001-11-22	Hosokawa Chishio		<input type="checkbox"/>
	2	06-295788	JP		1994-10-21	Namiki Toru		<input type="checkbox"/>
	3	2000-133462	JP		2000-05-12	Sasaoka Tatsuya		<input type="checkbox"/>

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

Application Number	1068920z
Filing Date	2003-10-20
First Named Inventor	Kohji Murayama
Art Unit	2879
Examiner Name	Anthony J. Canning
Attorney Docket Number	JP920010391US1

	4	11-339969	JP		1999-12-10	Arai Michio		<input type="checkbox"/>
	5	2002-184584	JP		2002-06-28	Okita Hiroyuki		<input type="checkbox"/>
	6	2002-075661	JP		2002-03-15	Yoshikawa Kota		<input type="checkbox"/>

If you wish to add additional Foreign Patent Document citation information please click the Add button

NON-PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T ⁵
	1		<input type="checkbox"/>

If you wish to add additional non-patent literature document citation information please click the Add button

EXAMINER SIGNATURE

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

*EXAMINER: Initial if reference considered; whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ See Kind Codes of USPTO Patent Documents at www.USPTO.GOV or MPEP 901.04. ² Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). ³ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁴ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁵ Applicant is to place a check mark here if English language translation is attached.

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

Application Number	10689202
Filing Date	2003-10-20
First Named Inventor	Kohji Murayama
Art Unit	2879
Examiner Name	Anthony J. Canning
Attorney Docket Number	JP920010391US1

CERTIFICATION STATEMENT

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

☒ That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

OR

☐ That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

- ☐ See attached certification statement.
- ☐ Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.
- ☐ None

SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.19. Please see CFR 1.4(d) for the form of the signature.

Signature	/James J. Cioffi/	Date (YYYY-MM-DD)	2006-06-23
Name/Print	James J. Cioffi	Registration Number	51564

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these records.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

BEST AVAILABLE COPY**United States Patent and Trademark Office**[Home](#) | [Site Index](#) | [Search](#) | [FAQ](#) | [Glossary](#) | [Guides](#) | [Contacts](#) | [eBusiness](#) | [eBiz Alerts](#) | [News](#) | [Help](#)[Portal Home](#) | [Patents](#) | [Trademarks](#) | [Other](#) | [Sign-Off Authenticated Session](#)**Patent eBusiness**

- [Electronic Filing](#)
- [Patent Application Information \(PAIR\)](#)
- [Patent Ownership](#)
- [Fees](#)
- [Supplemental Resources & Support](#)

Patent Information[Patent Guidance and General Info](#)

- [Codes, Rules & Manuals](#)
- [Employee & Office Directories](#)
- [Resources & Public Notices](#)

Patent Searches[Patent Official Gazette](#)

- [Search Patents & Applications](#)
- [Search Biological Sequences](#)
- [Copies, Products & Services](#)

Other

[Copyrights](#)
[Trademarks](#)
[Policy & Law](#)
[Reports](#)

EFS Registered

Registered	Please Read	Application	Attach	Review	Calc
Errors	Announcements	Data	Documents	Documents	Fe

Acknowledgement ReceiptThe USPTO has received your submission at **15:12:18** Eastern Time

No fees have been paid for this submission. Please remember to pay abandonment of your application.

eFiled Application Information

EFS ID	1088524
Application Number	10689202
Confirmation Number	4460
Title of Invention	Organic electroluminescent device and d apparatus
First Named Inventor	Kohji Murayama
Customer Number or Correspondence Address	32074
Filed By	James J. Cioffi/HILDA HEINLEIN
Attorney Docket Number	JP920010391US1
Filing Date	20-OCT-2003
Receipt Date	22-JUN-2006
Application Type	Utility

Application Details

Submitted Files	Page Count	Document Descript
jp9010391_IDS_Form__SB_08a.pdf	4	Foreign Reference
075661.pdf	11	Foreign Reference
133462.pdf	13	Foreign Reference
184584.pdf	6	Foreign Reference
295788.pdf	7	Foreign Reference

BEST AVAILABLE COPY

326069.pdf

14 Foreign Reference

339969.pdf

11 Foreign Reference

This Acknowledgement Receipt evidences receipt on the noted date documents, characterized by the applicant, and including page count evidence of receipt similar to a Post Card, as described in MPEP 50:

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the n (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1 the date shown on this Acknowledgement Receipt will establish the

National Stage of an International Application under 35 U.S.C.

If a timely submission to enter the national stage of an international conditions of 35 U.S.C. 371 and other applicable requirements a Filing acceptance of the application as a national stage submission under addition to the Filing Receipt, in due course.

If you need help:

- *Call the Patent Electronic Business Center at (866) 217-9199; for specific questions about Patent e-Filing.*
- *Send general questions about USPTO programs to the USPTO*
- *If you experience technical difficulties or problems with this, mail to Electronic Business Support or call 1 800-786-9199.*

You can suggest USPTO webpages or material you would like featured on this section by E-mail to the webmaster@uspto.gov requests, your suggestions will be considered and may lead to other improvements on the

[Home](#) | [Site Index](#) | [Search](#) | [eBusiness](#) | [Help](#) | [Privacy Policy](#)

Saved Applications for Later Submission

A submission has not been filed officially at the USPTO until the e-filer executes the Confirm & Submit function and the documents are received at the USPTO. The Acknowledgement Receipt is evidence of this submission.

EFS ID	1088524
Saved Date	22-JUN-2006 15:01:52
Title of Invention	Organic electroluminescent device and display apparatus
First Named Inventor	Kohji Murayama
Customer Number or Correspondence Address	32074
Filed By	James J. Cioffi/HILDA HEINLEIN
Attorney Docket Number	JP920010391US1
Application Type	Utility

The following documents have been saved for later submission.

Files to be Submitted	Page Count	Document Description	File Size	
jp9010391_IDS_Form__SB_08a.pdf	4	Foreign Reference	816207 byte	PASS
075661.pdf	11	Foreign Reference	747788 byte	PASS
133462.pdf	13	Foreign Reference	935707 byte	PASS
184584.pdf	6	Foreign Reference	422783 byte	PASS
295788.pdf	7	Foreign Reference	401985 byte	PASS
326069.pdf	14	Foreign Reference	1138016 byte	PASS
339969.pdf	11	Foreign Reference	835208 byte	PASS

Documents will be saved for your convenience until midnight Eastern Time on 26-JUN-2006 and then will be deleted.

If you need help:

- Call the Patent Electronic Business Center at (866) 217-9197 (toll free) or e-mail EBC@uspto.gov for specific questions about Patent e-Filing.
- Send general questions about USPTO programs to the USPTO Contact Center (UCC).
- If you experience technical difficulties or problems with this application, please report them via e-mail to Electronic Business Support or call 1 800-786-9199.